Annual Work Plan

EFY 2008

(Revised February 2016)

United Nations Development Programme - Ethiopia

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Project Title: **STRENGTHENING DEMOCRATIC GOVERNANCE TO ACCELERATE AND SUSTAIN ETHIOPIA'S TRANSFORMATION PROJECT**

UNDAF Pillar 3: GOVERNANCE AND CAPACITY DEVELOPMENT

UNDAF OUTCOMES:

- **UNDAF OUTCOME 9**: By 2015, national actors have enhanced capacity to promote, protect and enjoy human rights and constitutional rights and accessibility to efficient and accountable justice systems, as enshrined in the Constitution and in line with international and regional instruments, standards and norms.
- **UNDAF OUTCOME 10**: By 2015, national and sub-national actors utilize improved mechanisms that promote inclusiveness, participation, transparency, accountability and responsiveness in national development processes.

UNDAF OUTPUTS:

- **OUTPUT 9.1** - GoE and stakeholders have strengthened capacity to report, implement and follow-up recommendations from international and regional treaty bodies, councils and processes.
- **OUTPUT 9.2** - GoE received support to ratify, domesticate and harmonize international and regional human rights and justice instruments as prioritized by government
- **OUTPUT 9.3** - Strengthened capacity of justice sector to provide expanded and better quality legal services, legal information, legal literacy and effective measurement of reforms in the sector.
- **OUTPUT 9.4** - Capacity of national human rights machinery strengthened to effectively promote and protect human rights
- **OUTPUT 10.1** - Strengthened capacity of institutions and mechanisms for promotion of inclusiveness and participation in decision-making
- **OUTPUT 10.2** - Capacities of key governance institutions developed for enhanced accountability, transparency and responsiveness to citizens' needs.

EXPECTED PROJECT OUTPUTS:

- Strengthened capacities of institutions and mechanisms for promotion of inclusiveness and participation in decision-making.
- Capacities of key governance and justice institutions developed for enhanced accountability, transparency and responsiveness to citizen's needs.
- Capacity of national human rights machinery strengthened to effectively promote and protect human rights

IMPLEMENTING PARTNER: Ministry of Finance and Economic Development (MoFED)

RESPONSIBLE PARTIES: Ethiopian Human Rights Commission (EHRC), Ethiopian Institution of Ombudsman (EIO), Federal Ethics and Anti-Corruption Commission (FEACC), and the House of Peoples Representatives (HOPR)

DESCRIPTION OF THE PROGRAMME

The Government of Ethiopia (GoE) has within its' wider effort on poverty eradication, elaborated and articulated a clear agenda on democracy building and consolidation. The focus is, as embedded in the GTP, on good governance, strengthening the democratic system, capacity building and improving the justice system. The GoE has adopted a multiparty parliamentary system and a federal structure devolving power to nine regions and two city administrations.

It has also embarked on a process of capacitating the governance architecture; reforming the civil service and the justice sector, deepening decentralization and improving the delivery of basic services. These gains have improved

the human rights systems and broadened potential opportunities for political participation. However, the reform process is work in progress and concerted effort is still needed to translate the fletching capacity of democratic institutions into strong political, legal and instructional restrain on the discretional power of the executive.

This project is, therefore, a capacity development initiative aimed at consolidating and sustaining the established positive momentum on building democracy in Ethiopia. The strategic orientation of the project is to enhance government responsiveness to sustainable development by strengthening institutions, mechanisms and processes that facilitate and promote transparency, accountability, rule of law, human rights and wider participation.

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UNDAF Programme Period: 2012 -2016 Key Result Area (Strategic Plan): Dem. Governance and Capacity Building Atlas Award ID: 00076745 Start date: July 2015 End Date: June 2016 Management Arrangements: NIM	EFY 2007 AWP budge: **USD 770,960.00** Total resources required: **USD 770,960.00** Total allocated resources: **USD 770,960.00** Regular Resources: **USD 770,960.00** Funded Other Resources : **0.00** Unfunded other Resources: **0.00** In-kind Contributions: **0.00**

Agreed by MoPED: Director, UN Agencies & Regional Economic Cooperation Directorate **Date:**

Agreed by UNDP: **Date:** 17/3/16

Agreed by EHRC: Eshet Gebre, Ethiopian Human Rights Commission, Deputy Chief Commissioner **Date:**

Agreed by EIO: **Date:**

Agreed by FEACC: Tamir Kebede Hailu, Deputy Secretary General of the Secretariat of the House of Peoples' Representatives **Date:**

Agreed by HoPR: **Date:**

I. MANAGEMENT ARRANGEMENTS

This project will be run as a UNDP National Implementation (NIM) project. The Ministry of Finance and Economic Development (MoFED) as the overall coordinator of UN Assisted programmes in Ethiopia assumes the ultimate responsibility, on behalf of the Government of Ethiopia, and is accountable for results and resources under Government management. MoFED will be the implementing partner and the responsible parties – EHRC, EIO, FEAC, HOPR, HOF and NEBE – will be responsible for implementation of the project, in accordance with the UNDP NIM and Government of Ethiopia PIM guidelines.

Project Oversight and Assurance: MoFED and UNDP will provide oversight on quality assurance, ensuring that project resources are utilized effectively and efficiently in the achievement of the project outcomes and outputs. This will be carried out thorough the regular meetings, review of progress reports, spot checks, commissioned audit amongst others.

Audit: The project will be audited according to UNDP rules and regulations for NIM/Nationally Implemented projects

Collaborative arrangements with related projects: This project is building on the established success and learning from the lessons learned from UNDP ongoing engagement is the area of governance and capacity development in line with the UNDAF 2012-2015.

II. REPORTING, MONITORING AND EVALUATION

The responsible parties shall submit quarterly and annual narrative and financial reports to UNDP and MoFED following the established standard formats. UNDP will support MoFED in consolidating the reports. MoFED will facilitate communication to responsible parties. MoFED and UNDP will regularly monitor progress, assess risks and ensure the quality of the intervention, in accordance with the programming policies and procedures outlined in the UNDP User Guide. UNDP will regularly report to third party partners involved.

III. LEGAL CONTEXT

This project document shall be the instrument referred to as such in Article 1 of the Standard Basic Assistance Agreement between the Government of Ethiopia and UNDP, signed on 6 February 1981.

Consistent with the Article III of the SBAA, the responsibility for the safety and security of the Implementing Partner and its personnel and property, and of UNDP's property in the Implementing Partner's custody, rests with the Implementing Partner. To this end, the Implementing Partner shall:

a) put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;

b) assume all risks and liabilities related to the Implementing Partner's security, and the full implementation of the security plan.

UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of the Implementing Partner's obligations under this Project Document.

The Implementing Partner agrees to undertake all reasonable efforts to ensure that no UNDP funds received pursuant to the Project Document are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via http://www.un.org/sc/committees/1267/aq_sanctions_list.shtml. This provision must be included in all sub-contracts or sub-agreements entered into under/further to this Project Document.

I. Annual Work PLAN - EFY 2008 (Revised)

Curreny: USD

EXPECTED OUTPUTS And baseline, associated indicators and annual targets	PLANNED ACTIVI List activity results and associated actions	ETHIOPIA FY 2008 Budget (USD) Q1	Q2	Q3	Q4	RESP. PARTY	PLANNED BUDGET (USD) Funding Source	Budget Description	Amount (USD)
		UNDP FY 2015		UNDP FY 2016					
		Q3	Q4	Q1	Q2				
Project Output 1: Strengthened capacities of institutions and mechanisms for the promotion of inclusiveness and participation in decision-making. ***Baseline (HoPR):*** - No comprehensive documentation of past parliamentary performances and achievements available. No assessment report on the performance of the parliamentary available. . Each federal parliamentary standing committee currently conducts two oversight activities per quarter. ***Baseline (NEBE):*** - 2 training modules were developed and used for training but they require updating. - Some level of engagement with stakeholder, but are not regularized and focused on strategic issues. - Civic and Voter Education (TBD from the ongoing assessment) - The existing Gender Strategy and Manual need to be reviewed and updated. ***Indicators (HoPR):*** - # of workshops reports produced	**Activity Results 1.1: Best practices and lessons on parliamentary functions documented and disseminated**							**Actions:**	
	1.1.1. Preparation and publication of parliamentary book of the federal parliament of Ethiopia that encompasses the parliamentary practices of the country (successes and challenges of the last 20 years and the way forward)	40,000		30,000		HOPR	RR	Consultancy and publication cost	70,000
	1.1.2. Organize democracy forum on International Democracy Day	20,000				HoPR and RSCs	OR	stationary, fee, printing, DSA	20,000
	1.1.3. Support the institutionalization of a bi-annual Democratic Institutions Forum and speakers' forum under the HOPR chairmanship		20,000	20,000	30,000	HoPR	RR		70,000
	1.1.4. Conduct gap assessment of democratic institutions and develop a prodgramme-document for new governance programme			80,000	40,000	UNDP+HOPR	RR	consultancy fee	120,000
	Activity Result 1.2: Parliamentary support mechanism and capacity improved at all levels							**Actions:-**	
	1.2.1 Conduct a training workshop to the incoming MPs on parliamentary procedures		25,000			HoPR	OR/RR	Training and trainers cost, DSA, stationary	25,000
	Subtotal (per quarter)	60,000	45,000	130,000	70,000				
	Subtotal (per half year)		105,000		200,000				
	Subtotal (EFY 2008)				305,000.00				305,000.00
Poject Output 2: Capacities of key governance institutions developed for enhanced accountability, transparency and responsiveness to citizen's needs ***Baseline (FEACC):*** - 1,500 cases resolved (2013/2005EFY) - Draft anti-corruption Policy available - Four proclamations are in operation - Three surveys conducted with own staff (2006 EFY) - No manual on the means to monitor conflict of interest - No operation manual on the assert registration, disclosure and verification ***Baseline (EIO)*** : - Access to Information Law has been put to implementation; Awareness creation trainings conducted on the law; - No systems developed for the implementation of the law; - No monitoring report produced so far on the implementation of the law ***Indicators (FEACC):*** - Updated anti-Corruption Policy available for implementation - Two existing proclamations reviewed and amended and one new bill on corruption offences promulgated - Four surveys and one assessment conducted - A guidance manual on conflict of interest developed, - An operational guideline on asset registration, disclosure and verification exists - Report on the piloting and scaling-up of the implementation of Corruption risk plan Indicators (EIO): - A system that support implementation and monitoring of Access to Information Law is put in place - A monitoring report on the implementation of Access to Information Law - Fully functional good governance forums established at federal and in the five regions Targets (FEACC): - 20% of the cases resolved - One national corruption policy updated and implemented - Four surveys/studies reports produced, published and disseminated - A manual on conflict of interest developed	**Activity Result 2.1: Capacities strengthened to implement strategic anti-corruption activities** **Actions:-**								
	2.1.1 . Develop Asset Registration and verification Guideline and Organize training and synthesization workshop on its implementation		20,000			FEACC	RR	DSA, Venue, fuel, print, refreshment, fee	20,000
	2.1.2. Register renewal of Asset registration of elected persons, political appointee and civil servants ; and upload data on the system for disclosure		15,000			FEACC/ REACCs	OR	DSA, Venue, fuel, print, refreshment, fee	15,000
	2.1.3 . Carry on the awareness raising activities (through electronic and printing medias) on ethics and anticorruption.			10,000	5,000	FEACC	RR	DSA, fuel, fuel, meal, print, refreshment, fee	15,000
	2.1.4. Organize events on anti-corruption struggle in connection with the commemoration of International Anti-Corruption Day (2015), and other National recognized events		10,000			FEACC	OR	DSA, fuel, print, refreshment, fee, stationery	10,000
	2.1.5 . Conduct corruption trend assessment in the private sector in the country	10,000				FEACC	RR	DSA, fuel, print, refreshment, fee, stationery	10,000
	2.1.6. Undertake survey on the youth ethics and attitude towards corruption		20,000	10,000		FEACC	RR	DSA, Venue, fuel, print, refreshment, fee	30,000
	2.1.7 Conduct assessment of adquecy of corruption prevention mechanisms in selected public institutions					FEACC/ REACCs	OR	DSA, Venue, accomodation, meal, print, refreshment, fee	0
	2.1.8 . Support to Anti-corruption Coalition and clubs for the deepening of national anti-corruption movements		10,000	20,000		FEACC	RR	DSA. travel, fuel. Stationery	30,000
	2.1.9. Support the Implementation of Corruption Risk Reduction Plan in the water sector in two pilot water projects, and scale-up the lesson at national level.	20,000				FEACC	OR	DSA, Venue, meal, print, refreshment	20,000
	2.1.10 Undertake a study on the effect of corruption on environmental degradation in Ethiopia		10,000			FEACC	RR	DSA, Venue, meal, print, refreshment, fee	10,000
	Activity Result 2.2: National capacities for monitoring the administration of justice							**Actions:-**	
	2.3.1. Follow-up the implementation of National Justice Result Indicators.		10,000			FEACC	RR	DSA, fuel, print, refreshment, fee, stationery, venue	10,000
	2.3.2. Organize training on the new anti-corruption laws for the judiciary		10,000			FEAC	RR	DSA, fuel, print, refreshment, fee, stationery, venue	10,000

EXPECTED OUTPUTS And baseline, associated indicators and annual targets	PLANNED ACTIVITIES List activity results and associated actions	ETHIOPIA FY 2008 Budget (USD)				RESP. PARTY	PLANNED BUDGET (USD)		
		Q1	Q2	Q3	Q4		Funding Source	Budget Description	Amount (USD)
		UNDP FY 2015		UNDP FY 2					
		Q3	Q4	Q1	Q2				
- A manual on conflict of interest developed, - An operational guideline on asset registration, disclosure and verification adopted, - Corruption risk plan implemented on two projects and up-scaled to other projects Targets (EIO): - A fully functional system that support implementation and monitoring of Access to Information Law available - At least one monitoring report on the implementation of Access to Information Law produced and published - Fully functional good governance forums established at federal and in the five regions	**Activity Result 2.3: Improved access to information**								Actions:-
	2.3.1. Strengthen and Scale up the established on-line Reporting System to Implement Access to Information Law and Networking and Automation of Case Management System	-	20,000	10,000		EIO	RR	DSA, fuel, print, refreshment, fee, stationery, venue	30,000
	2.3.2 Conduct monitoring on the progress of implementation of access to information law by the public institutions all levels		20,000			EIO	RR	DSA, fuel, print, refreshment, stationery, venue	20,000
	2.3.3 Exposure visits to international best practices on implementation and monitoring of access to information law					EIO	OR	Travel, DSA, airticket	-
	Activity Result 2.4: Good Governance Promoted								Actions:-
	2.4.1. Establish and Strength Public Wings and Good Governance forums at all levels to enhance the Implementation of GTP-2		20,000	10,000		EIO	RR	DSA, venue, travel, fuel, fee	30,000
	2.4.2 Establish Wide Area networking(WAN) System to easy communication on the implementation of EIO's Strategic Plan both at Head Office and Branch Offices		10,000			EIO	RR	Fee	10,000
	2.4.3. Promotional and outreach activities through print and audio media on good governance and access to information including rights of women, children and other vulnerable groups.		10,000			EIO	RR	Printing, service	10,000
	2.4.4. Support, Monitoring and Review of Project Implementation and technical support to EIO and its Branch Offices(includes the fee of the Program Officer)		5,000	10,000		EIO	RR		15,000
	Subtotal (per quarter)	30,000	190,000	70,000	5,000				
	Subtotal (per half year)		220,000		75,000				
	Subtotal (EFY 2008)				295,000.00				295,000.00
Project Output 3: Capacity of national human rights machinery strengthened to effectively promote and protect human rights ***Baseline:*** - Human rights forums are established in three regions during the first half of 2014. - 126 legal aid centers and 13,000 beneficiaries - Three reports are published in the 2006 EFY - XXX international human rights instruments ratified by Ethiopia ***Indicators:*** - # of human rights forums established - # of human rights monitoring reports and cases published - # of legal aid centers and beneficiaries - # of ratified international instruments ***Targets:*** - At least three additional forums established and one workshop organized in all the regional states - 24 new legal aid centers will be operational and the number of beneficiaries will reach 20,000 - At least three human rights monitoring reports/cases will be published - 2 additional international /regional instruments ratified	**Activity Result 3.1: Mobilization of the general public for promotion and protection human rights**								Actions:-
	3.1.1. Organize training for Human Rights Forums, particularly to the regional justices institutions.	20,000		15,000		EHRC	RR	print, fuel, DSA	35,000
	3.1.2 Strengthen networking of the Commission with regional and international human rights institutions.	20,000		3,000		EHRC	RR	DSA, fuel, print, refreshment, fee, stationery, venue	23,000
	Activity Result 3.2: Strengthened protection of rights and redressing violations								Actions:-
	3.2.1 Conduct a study/audit on the compatibility of at least two legislations with human rights standards		20,000			EHRC	RR	DSA, print, refreshment, fee, stationery	20,000
	3.2.2 Conduct investigation of human rights situations and produce reports	10,000		17,000		EHRC	RR	DSA, print, refreshment, fee, stationery	27,000
	Activity Result 3.3: Mainstream Women, Children and PWDs rights								Actions:
	3.3.1 Mobilisation of the public through Community conversation for the promotion of human rights including rights of women, children and PWDs		20,000			EHRC	OR	fuel, print, stationery	20,000
	3.3.2 Organize workshops, pilot projects and awareness and advocacy campaigns on protection of vulnerable groups from HTP		10,000			EHRC	RR	DSA, print, refreshment, fee, stationery, venue	10,000
	Subtotal (per quarter)	50,000	50,000	35,000	0				
	Subtotal (per half year)		100,000		35,000				
	Subtotal (EFY 2008)				135,000.00				135,000.00

EXPECTED OUTPUTS And baseline, associated indicators and annual targets	PLANNED ACTIVI[illegible] List activity results and as[illegible] actions	ETHIOPIA FY 2008			Budget (USD)	RESP. PARTY	PLANNED BUDGET (USD)		
		Q1	Q2	Q3	Q4		Funding Source	Budget Description	Amount (USD)
		UNDP FY 2015		UNDP FY 2[illegible]					
		Q3	Q4	Q1	Q2				
Output 4: Project coordination, implementation support and communication *Baseline:* - 4 consultants seconded to IPs to provide TAs - 90% financial delivery 2006 EFY *Indicators:* - Effective implementation and delivery - Quality and timely reports submitted - Number of communication products *Target:*	Activity result 4.1: Effective coordination, technical support and monitoring of implementations Actions:								
	4.1.1 Provide technical advisory services for the Ips	12,980	12,980	5,000	5,000	UNDP	RR		35,960
	4.1.5 GMS (% 8)of total programme budget (OR)						OR		0
Subtotal (per quarter)		12,980	12,980	5,000	5,000				
Subtotal (per half year)			25,960		10,000				
Subtotal (EFY 2008)					35,960.00				35,960.00
Total (per quarter)		152,980	297,980	240,000	80,000				
Total (per half year)			450,960		320,000				
Total (EFY 2008)					770,960.00				770,960.00